

TRANSACTION SLIP



Scheme : JM	Plan :	Option / Sub Option :
--------------------	---------------	------------------------------

Folio Number : <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> </table>																						Please tick (✓)			
		Verified copy of PAN attached	KYC ACK	IHNO :																					
1st Applicant				IFSC Code :																					
2nd Applicant				Broker Code :																					
3rd Applicant				Until the Broker code is clearly mentioned in Transaction Slip, this transaction will be considered as Direct																					

<input type="checkbox"/> ADDITIONAL PURCHASE REQUEST
Investment Amount (Rs.) _____ DD Charges (Rs.) _____
Net Amount (Rs.) _____ Cheque / D.D. No. _____
Dated _____ / _____ / _____ Drawn on Bank _____
Branch & City _____

<input type="checkbox"/> REDEMPTION REQUEST
I/We would like to redeem from the above mentioned Scheme/Plan <input type="checkbox"/> All Units OR <input type="checkbox"/> No. of Units _____ OR
Amount (Rs.) (in figure) _____
Amount / Units (in words) _____

<input type="checkbox"/> SWITCH REQUEST
I/We would like to switch <input type="checkbox"/> All Units OR <input type="checkbox"/> No. of Units _____ OR Amount (Rs.) (in figure) _____
Amount / Units (in words) _____ from the above mentioned Scheme / Plan / Option to

Scheme : JM	Plan :	Option :	Sub Option :
--------------------	---------------	-----------------	---------------------

<input type="checkbox"/> CHANGE / CORRECTION IN BANK DETAILS																				
A/c No. SB/CA/NRE/NRO _____																				
Bank Name _____																				
Branch _____																				
MICR Code <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> </table>																				

<input type="checkbox"/> CHANGE OF ADDRESS & CONTACT DETAILS
Address _____
City _____ PIN _____
Phone _____ Mobile _____
Email _____

Upfront commission shall be paid directly by the investor to the AMFI registered Distributor/s based on the investor's assessment of various factors including the service rendered by the distributor

Applicable for FII/NRI investors

I / We hereby confirm that I am / we are non-resident/s of Indian Nationality/Origin and that the funds have been remitted through the following approved Banking Channels as ticked hereunder.

Investor Type (Please ✓) : NRI (Repatriation) <input type="checkbox"/>	NRI (Non-Repatriation) <input type="checkbox"/>	Foreign Institutional Investor <input type="checkbox"/>
Source of funds (Please ✓) : NRE <input type="checkbox"/>	NRO <input type="checkbox"/>	Direct Remittance from Abroad <input type="checkbox"/>
		FCNR <input type="checkbox"/>

SIGNATURE(S) (First Unit Holder) _____ (Second Unit Holder) _____ (Third Unit Holder) _____

Date

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 (To be signed by All Unitholders if mode of holding is 'joint' & in case of non individual investor, rubber seal also to be affixed).

PS : Please use separate transaction slip for two or more financial transactions (i.e. Purchase/Redemption/Switch) failing which transaction will be rejected without any action.

DECLARATION

The investor by signing the transaction slip overleaf confirms having understood and agreed to the following Terms & Conditions

1. The ARN holder has disclosed to him/her/them all the commissions (in the form of trail commission or any other mode), payable to the ARN holder for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to him/her/ them.
2. That the investor/s has / have read and understood the contents of the Scheme Information Document(s) / Scheme Additional Information of the respective scheme/s and subsequent amendments thereto including the section on "Prevention of Money Laundering". The request for Additional Purchase/Redemptions/Switch etc will be subject to the terms & conditions of the scheme concerned as per the current Scheme Information Document(s) of the respective Scheme/s including Minimum Investment Amount / Units / Multiple criteria.
3. That the investor/s has / have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment.
4. The processing of any financial (allotment / redemption / switch) or non financial request is subject to meeting the terms and conditions, systems and procedures and fulfillment of regulatory requirements. In case of non fulfillment or detection of any error at any stage, AMC reserves the right to reject/refund/revert/ revert and reprocess any request/transaction.
5. That AMC / Registrar will reject the transaction in case Additional Purchase Request is made along with Redemption and/or Switch request/s, or vice-versa as two different type of Financial Transactions or transactions pertaining to two or more folios are not permissible through Single Transaction Slip. Separate Transaction Slip is necessary for each financial transactions.
6. The AMC will credit the Redemption/Dividend amount into the same Bank Account of investor through Direct Credit if the investor maintains his Bank Account with any of the empanelled Banks of the AMC. At present, the AMC has arrangements for Direct Credit with the following 15 Banks, which may, be deleted or added as per the discretion of the AMC with out any prior information / notice to investors.
 1. ABN Amro 2. BNP Paribas 3. Citibank 4. Deutsche Bank 5. Development Credit Bank (DCB) 6. HDFC Bank 7. HSBC 8. ICICI Bank 9. IDBI Bank 10. Indusind Bank 11. ING Vysya Bank 12. Kotak Mahindra Bank 13. Standard Chartered Bank 14. AXIS Bank 15. Yes Bank. AMC also reserves the right to effect credit through RTGS/NEFT/ECS system as and when started for payment of dividend, subject to the availability of required information i.e. MICR No., IFSC code, Name of the Bank and the Branch pertaining to the bank account maintained by investor.
7. That JM Financial Asset Management Pvt Ltd/JM Financial Mutual Fund/JM Financial Trustee Co. Pvt. Ltd. will not be held responsible in case of delayed credit of dividend / redemption proceeds / credit not having been effected on account of incorrect/incomplete Bank details furnished by investor. Also that a cheque/Demand Draft will be issued in the event of rejection of Direct Credits by Bank beyond AMC's controls.
8. The Bank Details will be updated for the particular scheme/plan/option only, if the transaction slip containing the computer printed/hand-written scheme/plan/option is used instead of making a request through a letter for such change with folio number only. However, if the transaction slip is used / written request is made / by mentioning only folio number (i.e, without mentioning the scheme/plan/option), the bank details will be updated for entire folio provided no other financial transaction is mentioned in the slip. Further, the investor/s understand/s that the application for updation/change/correction in address or contact details will always be updated at folio level and for KYC compliant investor/s, the updation will be done as mentioned in point 3 (under Mandatory Requirements) below.
9. In case of difference in the amount and units mentioned in any request for Redemption/Switch, the lower of the two on rupee equivalent basis on the Transaction/applicable NAV date will be considered by the AMC. In the event of investor not having filled in the Amount/Units in the Transaction Slip, the AMC may redeem/switch-out all the outstanding units in case the Scheme, Plan, Option are clearly mentioned. Incomplete request may be rejected. In case the units or amount to be redeemed or switched out is in excess of the available balance, the entire outstanding units will be redeemed or switched out.
10. All financial / Non-financial requests must be signed by all the joint holders in case the units are held on the basis of joint holding. In case, units are held on the basis of "Either / Anyone or Survivor", any one of the joint holders may sign such requests.
11. The redemption/switch price represent an average price after taking into account the respective load structure applicable for units purchased, on First in First Out basis.

Mandatory Requirements / Information :

1. As per SEBI, the submission of copy of PAN proof duly verified by Bank Manager/Notary Public/Officials of JM Financial AMC or M/s Karvy Computershare or self-attested copy duly verified by the distributor holding AMFI Registration Number (ARN) with signatures, date, name and official rubber stamp is mandatory. Purchase Transaction will not be processed by the Registrar and amount refunded within 30 days without any interest in case the verified copy of PAN is not submitted along with purchase transaction or along with earlier transactions for the same folio. However, in case of MICRO SIP, the investor/s not having PAN Card, will be required to submit a copy of any of the Identification Proofs (as specified by AMFI) duly attested by investor / concerned ARN Holder.
2. Furnishing Bank Details is mandatory as per SEBI guidelines to avoid fraudulent encashment of payment instrument/s issued by the AMC from time to time. Investor may furnish the same if not furnished earlier by using the Transaction Slip duly signed by all investors. Please refer point No. 6 & 7 above.
3. In order to meet the KYC (Know you customer) requirements as per Prevention of Money Laundry Act, wef 1st Feb. 2008, it is mandatory for all investors investing Rs. 50,000/- or more in Mutual Fund Schemes, to obtain and attach a copy of KYC Certification issued by M/s CVL (A subsidiary Company of CDSL). The details may be obtained from the AMFI's site i.e. amfiindia.com. The Registrar will update the address of the investor based on CVL's record for all those investors whose KYC certification is completed successfully. The investor is required to update his/her address with CVL if any change is required to be updated after KYC certification.