

HDFC Gold Exchange Traded Fund

An Open-Ended Exchange Traded Fund

New Fund Offer Price: Face Value of Rs. 100 per unit for cash and will be issued at a premium equivalent to the difference between the allotment price and the face value.



Continuing a tradition of trust.

Key Information Memorandum and Application Forms

Investors must read the Key Information Memorandum and Instructions before completing this Form

New Fund Offer Opens on: June 25, 2010 | New Fund Offer Closes on: July 23, 2010 App. No. : HGETF

The Trustee reserves the right to close the NFO before the abovementioned date by giving at least one day notice in one daily Newspaper.

Application Form (The Application Form should be completed in English and in BLOCK LETTERS only)

KEY PARTNER / AGENT INFORMATION

Name and AMFI Reg. No. (ARN)	Sub Agent's Name and Code / Bank Branch Code	M O Code
ARN- 29644		

FOR OFFICE USE ONLY

Date of Receipt	Folio No.	Branch Trans No.	Collection Centre /ISC Name & Stamp

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the distributor.

1. EXISTING UNIT HOLDER INFORMATION (If you have existing folio, please fill in your folio number in section 1 and proceed to section 4. Refer instruction 2)

Folio No. _____ / _____ *The details in our records under the folio number mentioned alongside will apply for this application, provided the particulars match with that in your demat account. In case of mismatch, complete the details in section 3a.*

2 STATUS (of First/Sole Applicant) [Please tick (✓)]

<input type="checkbox"/> Resident Individual	<input type="checkbox"/> NRI-Repatriation	<input type="checkbox"/> NRI-Non-Repatriation	<input type="checkbox"/> Partnership
<input type="checkbox"/> Trust	<input type="checkbox"/> HUF	<input type="checkbox"/> PIO	<input type="checkbox"/> FIs
<input type="checkbox"/> Minor through guardian	<input type="checkbox"/> BOI	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> LLP
<input type="checkbox"/> Society / Club	<input type="checkbox"/> Others _____ (please specify)	<input type="checkbox"/> Company	<input type="checkbox"/> AOP

(The details as provided in your demat account shall prevail).

MODE OF HOLDING [Please tick (✓)]

<input type="checkbox"/> Single
<input type="checkbox"/> Joint
<input type="checkbox"/> Anyone or Survivor

OCCUPATION (of First/Sole Applicant) [Please tick (✓)]

<input type="checkbox"/> Service	<input type="checkbox"/> Student	<input type="checkbox"/> Professional
<input type="checkbox"/> Housewife	<input type="checkbox"/> Business	<input type="checkbox"/> Retired
<input type="checkbox"/> Agriculture	<input type="checkbox"/> Proprietorship	
<input type="checkbox"/> Others _____ (please specify)		

3a. UNIT HOLDER INFORMATION (Names should be in the same sequence as appearing in your demat account. In case of discrepancies, the Application is liable to get rejected. Refer instruction 3)

DATE OF BIRTH (Mandatory in case of Minor)

D	D	M	M	Y	Y	Y	Y
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NAME OF FIRST / SOLE APPLICANT

Mr.	Ms	M/s																																		
Nationality																		PA N#																KYC Compliance Status**	<input type="checkbox"/> YES	<input type="checkbox"/> NO

NAME OF GUARDIAN (in case of First/Sole Applicant is a Minor. Should be the same as provided in your demat account) / NAME OF CONTACT PERSON - DESIGNATION (in case of non-individual Investors)

Mr.	Ms																																																				
Nationality																		Designation																		Contact No.																	
PA N#																		KYC Compliance Status**																		<input type="checkbox"/> YES	<input type="checkbox"/> NO																

NAME OF THE SECOND APPLICANT Resident Individual NRI [Mandatory Please tick (✓)]

Mr.	Ms																																			
Nationality																		PA N#																KYC Compliance Status**	<input type="checkbox"/> YES	<input type="checkbox"/> NO

NAME OF THE THIRD APPLICANT Resident Individual NRI [Mandatory Please tick (✓)]

Mr.	Ms																																			
Nationality																		PA N#																KYC Compliance Status**	<input type="checkbox"/> YES	<input type="checkbox"/> NO

MAILING ADDRESS OF FIRST / SOLE APPLICANT (P.O. Box Address may not be sufficient)

CITY																		STATE																		PIN CODE																	
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OVERSEAS ADDRESS (Mandatory in case of NRIs/FIs) (P.O. Box Address may not be sufficient)

(Furnishing PIN CODE is mandatory)

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CONTACT DETAILS OF FIRST / SOLE APPLICANT

STD Code																		Off.																		Res.																	
Fax																		Mobile																																			
E-Mail																																																					

Please attach PAN Proof. If PAN is already validated please don't attach any proof. Refer instruction No 13. ** Refer instruction No 15.

3b. POWER OF ATTORNEY (PoA) HOLDER DETAILS

NAME OF PoA

Mr.	Ms	M/s																																					
PA N#																		[Please tick (✓)] KYC Compliance Status**																		<input type="checkbox"/> YES	<input type="checkbox"/> NO	# Refer Instruction 13	** Refer Instruction 15

4. BANK ACCOUNT DETAILS (refer instruction 4a) Please note that as per SEBI Regulations it is mandatory for investors to provide their bank account details

Account No.																		Name of the Bank																							
Branch																		Bank City																							
IFSC Code***																		Account Type [Please tick (✓)]																		<input type="checkbox"/> SAVINGS	<input type="checkbox"/> CURRENT	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> FCNR	<input type="checkbox"/> OTHERS _____ (please specify)

*** Refer Instruction 4b (Mandatory for Credit via NEFT / RTGS) (11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank)

The 9 digit MICR Code number of my/our Bank & Branch is* * : _____
(The 9 digit code appears on your cheque next to the cheque number) ** Refer Instruction 8 (Mandatory for Dividend Payout via ECS)

Unit holders are requested to provide Bank Account details linked with their demat account, as mentioned under section 7 overleaf

5. INVESTMENT DETAILS (refer instruction 5)

Currently there are no investment Plans / Options being offered under the Scheme.

... continued overleaf

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor) [For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 60006767 (Do not prefix STD code) or 18002336767 (Toll Free)]

HDFC MUTUAL FUND																	
Head Office : Ramon House, 3rd Floor, H.T. Parekh Marg, 169, Backbay Reclamation, Churchgate, Mumbai 400020																	
Date: _____ Application No. : HGETF																	
Received from Mr./Ms./M/s _____ an application for allotment of Units of HDFC Gold Exchange Traded Fund (HGETF) along with Cheque / Demand Draft, as detailed overleaf.																	
Please Note : All Purchases are subject to realisation of Cheques / Demand Drafts																	
Collection Centre / ISC Stamp & Signature																	

6. PAYMENT DETAILS - Cheque / DD should be drawn in favour of "HDFC Gold Exchange Traded Fund" (refer instruction 6a)
 - Please write Application Form No. / Folio No. on the reverse of the Cheque / Demand Draft.
 - Refer instruction 6b. for Basis of Allotment details .

Cheque / DD No.		Cheque / DD Date	
Amount of Cheque/DD in figures* (Rs) (i)		Drawn on (Bank / Branch Name)	
DD charges if any in figures (Rs) (ii)		Account No.	
Total Amount (i) + (ii)	in figures (Rs)	(For Cheque only)	
	in words		
Account Type [Please (✓)] <input type="checkbox"/> SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> OTHERS _____ (please specify)			

* Large Investors and Other Investors: Rs. 5,000 and any amount thereafter. Authorized Participants - Application Amount in Creation Unit Size. Each Creation Unit Size will consist of 1,000 units of HGETF. 1 unit of HGETF will be approximately equal to 1 gram of gold.

7. DEMAT ACCOUNT DETAILS - (Mandatory - refer instruction 10)

Please (✓) <input type="checkbox"/> NSDL <input type="checkbox"/> CDSL	
DP ID ##	DP Name
Beneficiary Account No.	
I	N

Not Applicable if the Depository Participant is CDSL.

The details of the Bank Account linked with the Demat account as mentioned above be provided under Section 4.

8. eSERVICES OPTIONS (SAVE PAPER, SAVE TREES) [Please tick (✓)] (refer instruction 9)

i) HDFCFM Online & HDFCFM Mobile - I/We would like register for my/our HDFCFM Personal Identification Number (HPIN) to transact online with HDFC Mutual Fund.

Mandatory information to be provided:

a) Email address _____
 (if the address given herein is different from the email address under section 3a, the email address under section 8 will be considered during registration for HPIN).

b) Mother's maiden name: _____

I/We have read and understood the terms and conditions and confirm I/we shall bound by them (Terms & Conditions available in the eServices booklet as well as on our website)

Note - The Above mentioned facilities are not available for HDFC Gold Exchange Traded Fund.

- ii) eDocs: I/We wish to receive account statements, allotment advice, newsletters, annual reports and other statutory information documents by email in lieu of physical documents (Email address is mandatory).
- iii) eAlerts: I/We would like to receive SMS updates (Mobile number in section 3a is mandatory).

9. NOMINATION (refer instruction 12)

The Nomination details will be as provided in your demat account.

10. MODE OF PAYMENT OF REDEMPTION / DIVIDEND PROCEEDS (refer instruction 8)

Authorized Participants and Large Investors:

A authorized Participants and Large Investors will receive their redemption / dividend proceeds (if any) directly into their bank account linked with their demat account (as furnished by them in Section 4) as per the following arrangements

- (i) **Direct Credit** for bank accounts with ABN AMRO Bank NV, Axis Bank Limited, Citibank N.A., Deutsche Bank AG, HDFC Bank Limited, The Hongkong and Shanghai Banking Corporation, ICICI Bank Limited, IDBI Bank Limited, Kotak Mahindra Bank Ltd., Standard Chartered Bank and YES Bank Limited.
- (ii) **Electronic credit through National Electronic Funds Transfer (NEFT)** System of RBI for bank accounts other those mentioned in section no 10 (i).
- (iii) **Electronic credit through Electronic Clearing System (ECS)** facility of RBI to receive dividend proceeds if any for bank accounts other those mentioned in section no. 10 (i).

In addition the scheme shall allow redemption requests in Creation Unit Size, whereupon the Fund will transfer the Portfolio Deposit of physical gold to the custody account of Authorized Participants / Large Investors and/or the Cash Component as requested by the Authorized Participants / Large Investors

Other Investors:

The mode of payment as mentioned in (i), (ii) and (iii) above shall be applicable for Other Investors only for payment of dividend proceeds if any

Note: After the NFO, as HGETF units will be listed on the National Stock Exchange of India Ltd. (NSE), all Investors (Authorized Investors, Large Investors and Other Investors), can buy/sell units on a continuous basis on the NSE during the trading hours on all trading days

11. DECLARATIONS & SIGNATURE/S (refer instruction 11)

I/We have read and understood the contents of the Scheme Information Document (SID) of the HDFC Gold Exchange Traded Fund and Statement of Additional Information. I/We hereby apply to the Trustee of HDFC Mutual Fund for allotment of Units of HDFC Gold Exchange Traded Fund and agree to abide by the terms, conditions, rules and regulations of the Scheme and I/we have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. **The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.** I/We hereby declare that I/We am/are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We declare that the information given in this application form is correct, complete and truly stated.

Applicable to NRIs only:

I/We confirm that I am/We are Non-Resident of Indian Nationality/O rigin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

- Please (✓) Yes No
 If yes (✓) Repatriation basis
 Non-repatriation basis

DD	MM	YYYY

SIGNATURE/S	Please write Application Form No. / Folio No. on the reverse of the Cheque / Demand Draft.
	First / Sole Applicant / Guardian / POA Holder
	Second Applicant
Third Applicant	

- Note: 1. If the application is incomplete and any other requirements are not fulfilled, the application is liable to be rejected.**
2. Demat Account details are COMPULSORY for investing in this Scheme.

PARTICULARS HDFC GOLD EXCHANGE TRADED FUND

Please (✓) <input type="checkbox"/> NSDL <input type="checkbox"/> CDSL	
DP ID ##	DP Name
Beneficiary Account No.	
I	N
Cheque / DD No.	Cheque / DD Date
Amount in Figures (Rs)	
Drawn on (Name of Bank and Branch)	